IN THE UNITED STATES PA	ATENT AND TRADEMARK OFFICE
In re application of:) Examiner: Ohara, Eileen B.
ATENT ASSIST. ASHKENAZI, et al.) Art Unit: 1646
Application Serial No. 10/017,086) Confirmation No: 4093
Filed: October 24, 2001) Attorney's Docket No. 39780-2630 P1C64
For: SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME) Customer No. 35489))

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

MAIL STOP AMENDMENT

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form Supplemental PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

This statement	qualifies	s under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
	(1)	It is being filed within 3 months of the application filing date and is other continued prosecution application under § 1.53(d)
•	tiluii u	OR
	(2)	It is being filed within 3 months of entry of a national stage OR
	(3) merits	It is being filed before the mail date of the first Office Action on the

		OR (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.			
\boxtimes	37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:				
		a certification as specified in §1.97(e) is provided below; or			
		a fee of \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.			
37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of office action under §1.113 or a notice of allowance under §1.311, but before payment of fee, then:					
	A.	a certification as specified in §1.97(e) is completed below; and			
	В.	a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and			
	C.	a fee of \$180.00 as set forth in \$1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.			
\boxtimes	of \$180	Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Attorney's Docket No. 39780-2630 P1C64).			
		Respectfully submitted,			

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Dated: November 18, 2004

Anna L. Barry (Reg. No. 51,436)

Form PTO-1449		Attorney's Docket No. 39780-2630 P1C64 Application Serial No. 10/017,086							
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002 8 1 NON (use several sheets if necessary)			if necessary)	Filing Date: October 24, 2001	Gro 164	Group Art Unit: 1646			
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Examiner Initials	Ref. No.	Title							
	1.	Hanna, J.S., et al., "HER-2/neu Breast Cancer Predictive Testing", Oathology Associates Medical Laboratores, August (1999).							
	2.	Hyman, E., et al., "Impace of DNA Amplification on Gene Expression Patterns in Breast Cancer ^{1,2} ", Cancer Research – 62:6240-6245 (2002)							
	3.	Orntoft, T.F., et al., "Genome-wise Study of Gene Copy Numbers, Transcripts, and Protein Levels in Pairs of Non-invasive and Invasive Human Transitional Cell Carcinomas", <i>Molecular & Cellular Proteomics</i> – 1:37-45 (2002)							
	4.	Pollack, J.R., et al., "Microarray Analysis Reveals a Major Direct Role of DNA Copy Number Alteration in the Transcriptional Program of Human Breast Tumors", <i>PNAS</i> – <u>99(20)</u> :12963-12968 (2002)							
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EXAMINER:	DATE CONSIDERED:			
EXAMINER: Initial if citation considered, whether or not the citation conf	forms with MPEP 609. Draw a line through the citation if not in			
conformance and not considered. Include a copy of this form with next communication to applicant.				
*If an asterisk is placed beside the reference number, a copy is not provide	ded because the reference was previously cited by or submitted			

"If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identical in the statement and relied upon for an earlier filing date under 35 U.S.C. §120. 37 C.F.R. §1.98 (d). SV 2081026 v1